

A Discussion Document from the EU-FP 6 Ecobuilding Projects for Use in the Preparation of Calls in the Seventh Framework Programme

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What are Eco-buildings

and are they needed in the Seventh Framework Programme (FP7)?

A Discussion Document from the EU-FP 6 Eco-building Projects

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1 Introduction

1.1 Background

This discussion document has been prepared by the four Eco-building projects ongoing under the Sixth Framework Programme (FP6): , namely: BRITA-in-PuBs, Demohouse, Eco-culture and SARA (more information at: www.ecobuildings.info).

One of the tasks of the four projects is to further the awareness of Eco-buildings in general as well as contribute to the continuous development of Eco-buildings.

1.2 Context

This short report was written in order to document the importance of the European Commission (EC) DG TREN programme Eco-buildings. During the time of the preparation of the report the Commission is considering whether or not to include calls for Eco-buildings projects within the FP7 as there are voices within the EC that question the need for further Eco-buildings calls. This document aims to explain why there is a need for continuing the Eco-buildings programme. By way of example:

Q: Concerto projects (dealing with whole settlements linked to the same energy supply) include Eco-buildings. Therefore is there any need for a separate Eco-buildings call?

A: The scale of Eco-buildings projects can provide a more specific focus for buildings technology than CONCERTO and tend to be on a scale more accessible for the majority of pubic authorities than the very large CONCERTO consortia. Eco-buildings initiate solutions for single (existing) buildings without having to be used for complete settlements. The single building solution is mostly needed in the reality of renovation projects.

Q: If "Passive houses" have lower energy consumption should the focus be for Passive buildings rather than Eco-buildings?

A: The use the name passive house as synonym or even as higher form of eco-buildings is erroneous. Passive houses, often equally incorrectly called "houses without heating systems" are nearly always dwellings with generally low heating energy demands and mechanically ventilation system that is used for heating as well (warm air heating). Therefore passive houses are a subgroup or mainly a sub-technology of eco-buildings and it is important to show that eco-buildings can be realised with a broad variety of technologies. As lighting and cooling are major energy elements in most of the buildings, the focus on heating only (restricted to the use of air heating systems) – as done by the passive house approach – presents a too limited view on energy efficient building concepts. Eco-buildings with their holistic approach and without any restriction to a specific technology are still the right strategy to cover the whole building stock.

1.3 Document structure

The document begins by defining the current concept of "Eco-building" anticipating how this definition may change in the future. It then offers observations from the Ecobuilding projects are based on a brief SWOT-analysis of the demonstration project current experience. To conclude, recommendations concerning the use of Eco-buildings within FP7 are given.

We hope that the document will increase the focus on Eco-buildings with the FP7 and in general.

2 Definition of Eco-buildings

This section tries to define the term Eco-building, as a clear definition does not exist

Eco-buildings are defined by the EC as a building concept that is expected to be the meeting point of short-term development and demonstration in order to support legislative and regulatory measures for energy efficiency and enhanced use of renewable energy solutions within the building sector, which goes beyond the Directive on the Energy Performance of Buildings.

As the national requirements are different from country to country in the EU and are strengthened from time to time (e.g. in connection with the new Directive on the Energy Performance of Buildings), the definition of eco-buildings will have to be adapted. This definition includes the design approach adopted in what are known as Passive Houses¹ and also includes many other design approaches to address other situations.

The authors of the report have decided to use three different phases for defining the state of art: now (FP6), immediate future (FP7) and vision for 2020 (EU action plan)

2.1 Now (FP6)

2.1.1 State of the art

- Beyond national requirements reduced energy consumptions + use of renewables:
 - Energy efficiency/bio-climatic/solar design considerations as standard architectural design requirement
 - o High insulation and building tightness standards
 - Efficient installations + integration of renewable energy sources RES

2.1.2 Demand pull (business interest)

- Opportunities created by new legislative framework (for suppliers and installers e.g. insulation, glazing, solar installations, etc.)
- Demonstrate products, constructions and technologies that are feasible

2.1.3 Technology push (policy and research interests)

- Public sector leading role by exemplary buildings, by complimentary legislation (by-laws, incentive schemes, creation of new roles such as energy managers, etc.)
- Accompanying development of professional sectors and services: energy analysts, certification specialists, third party energy contractors, ESCOs, turn key solutions etc., identifying directions for further developments.
- Demonstration of feasible, affordable products, constructions and technologies

¹ Note on passive houses: The use the name passive house as synonym or even as higher form of ecobuildings is erroneous. Passive houses are a subgroup or mainly a sub-technology of eco-buildings and it is important to show that eco-buildings can be realised with a broad variety of technologies applied to various situations, including those associated with passive houses.

2.2 Immediate future (FP7)

2.2.1 State of the art

- Beyond the EPBD reduced primary energy consumptions + reduced CO₂emissions + use of renewables
 - Double the energy efficiency: Half the fossil fuel consumption in buildings compared to national requirements during FP5/6 period
 - Towards high-performance buildings
 - o Towards building integrated generation/polygeneration

2.2.2 Demand pull (business interests)

- Higher client/consumer expectations and demands based on more information and knowledge of performance criteria post EPBD
- Emergence of new products resulting from R&D work.

2.2.3 Technology push (policy and research interests)

- Beyond Kyoto and security of supply concerns pushing political agenda and thus investment in key technological development and options.
- Expected trend is for push to be from local level upwards (see examples of US municipal for sustainable development, solar cities, ICLEI, etc.)
- Demonstrate large-scale application of energy saving concepts
- Demonstrate the diversity and competitiveness of technologies, strategies and concepts
- o Develop training, education and dissemination plans

2.3 Vision for 2020 (EU Action Plan)

2.3.1 State of the art

- Extreme energy efficiency
- Carbon neutral built environment, towards zero emission houses
- Sustainability as a standard

2.3.2 Demand pull (business interests)

- Continual opportunities in renovation of existing building stock as expected high energy prices continue to make energy saving cost effective
- Continual demand for high performance technologies and services as the solar economy develops.

2.3.3 Technology push (policy and research interests)

- Security of supply becomes key policy issue in Europe and Solar and construction industries continue to be an economic driver in terms of investment in technological innovation and added value.
- Permanent evaluation and improvement of concepts.

2.4 Conclusions:

As the need for continual improvement of standards and incorporation of emergent technology for the construction sector is recognised and given the importance of buildings both in terms of energy consumption (40%) and contribution to the national economy, it is clear that there is a role for Eco-buildings demonstration programmes to facilitate and accelerate the transition of emergent technology and high standards from pioneer projects to standards practice².

Eco-buildings to 2020 are therefore buildings that aim towards an extremely energy efficient (E^3) and carbon neutral built environment.

The definition is deliberately flexible to ensure that is remains valid and enables calls and programmes to become increasingly demanding by further definition of specific eligibility and evaluation criteria. By 2020 such criteria should include:

- Lifecycle energy costs of a building (not just energy in use)
- Energy efficiency of the building compared to standards before or at the begin of the implementation of the EPBD in the EU Member States (efficiency factor rating)
- Related energy costs beyond the boundary of the building (such as mobility issues, Greenfield vs. brown field sites, etc.)
- Environmental impact assessment to promote sustainable resource use beyond the bounds of energy analysis (e.g. Forest Stewardship, water use, health and safety, etc.).

² In response to the question about the validity of Eco-buildings now that CONCERTO is established as a major demonstration programme including Ecobuilding. A collection of Eco-buildings may act as an advanced Concerto project. However the Concerto approach cannot substitute the Eco-buildings programme as the focus is on the total community solution and this may overlook many possibilities that can be captured by the Eco-building focus on individual buildings such as improvement of energy efficiency in the existing building stock or typological foci (health sector, municipal services, cultural buildings, etc.).

3 FP6 project participant feedback on Demo and RTD activities

Project participants were invited to give an overview of their experiences and vision of the partner consortia of the four Eco-buildings projects concerning strengths, weaknesses, opportunities and threats (SWOT) of participation as demonstration partner or as RTD partner in an Eco-building project.

The purpose of the analysis is to gather the many experiences gained within the four projects in order to condense it into clear and operational conclusions. The results of this exercise are summarised below and reproduced in full in the annex to this document.

3.1 Demonstration activities

Eco-building are an important driver of the continuous development of the EPBD and help implementation of new technologies as it offers focus on energy throughout the entire building project.

Use of Eco-buildings increases the awareness of the local population and authorities.

However, the lack of a clear definition of the term Eco-building reduces the effectiveness of this energy focus as at the local level it may be confused with other broader policy priorities.

3.2 RTD activities

Eco-building creates important networks and knowledge transfer between European research communities.

The developed knowledge can be used directly by other parties in other Eco-building projects, and the demonstration makes dissemination of RTD results easier.

However the focus on local building projects reduces the common research ambitions. Low funding levels also reduce innovative developments.

Given that these are demonstration projects and that the relative of importance of RTD is (<20%, of EC support compared to >60% for demonstration) once possible route for improvement would be to cluster or network research activities between projects or consortia to produce a critical mass or to specify key areas of research to be addressed in the call in order to facilitate this subsequent clustering.

4 Conclusions

4.1 Why Eco-buildings demonstration is needed in FP7

A fraction of residential buildings may be addressed by a "towards passive housing trend", but there are different equally significant trends in the building technology (like for example low-ex or waste energy concepts) which cover the same idea of energy resource conservation but allow a much wider approach, i.e. monolithic building constructions, natural or hybrid ventilation, carbon-free generators. Each passive house is an Eco-building, but not each Eco-building has to be a passive house.



The key arguments are condensed in a PPT presentation in Annex 2

4.2 What stimulation the market needs

A clear label/benchmark is needed to promote Eco-buildings as the advanced concept in the building market. The industry and building users want to have an energy/ environmental quality criteria for the whole building.

4.3 How to learn from the FP6 experience

- It is important to set up a support activity to form an Ecobuildings/E³ buildings information and promotion platform within or besides the ECTP and renewables platform.

- The amendments of inevitable modifications in the demonstration building process have to be simplified (is an official amendment really necessary?)

4.4 Eco-buildings is not a strong brand name

The name Eco-buildings is not clearly defined and needs interpretation in the communication to the public and politicians. For the time being, all Coordinators uses a different definition in their project. Therefore the name should be reconsidered and clearly adjusted to the focus: Buildings that go beyond the current and future environment requirements (e.g. E^3 - extreme energy/environmental efficient – buildings).

5 Annex 1: Analysis of Strengths, Weaknesses, Opportunities and Threats (SWOT) of Eco-buildings

Project participants were invited to give an overview of their experiences and vision of the partner consortia of the four Eco-buildings projects concerning strengths, weaknesses, opportunities and threats of participation as demonstration partner or as RTD partner in an Eco-building project.

The purpose of the analysis is to gather the many experiences gained within the four projects in order to condense it into clear and operational conclusions. The results of this exercise have provided the material for section 2 of this report and are reproduced in full below.

A SWOT analysis is explained in the following table:

 Strengths: What advantages do you have? What do you do better than anyone else? What unique resources do you have? What do people see as your strengths? 	 Weaknesses: What could you improve? What should you avoid? What are people in your market likely to see as weaknesses? 	
 Opportunities: Where are the good opportunities facing you? What are the interesting trends you are aware of? 	 Threats: What obstacles do you face? What is your competition doing? Are the task specifications changing? Could any of your weaknesses seriously threaten your business? 	

5.1 Demonstration activities

The demonstration partners came up with the following results of the SWOT analysis:

DEMO Opportunities	DEMO Threats		
• Existing buildings are crucial to fulfil EC/governmental decisions (20 % in 2020)	 Rapidly changing market: EPBD is now provoking changes - DEMO buildings are innovative when proposals are submitted and almost standard once completed! 		
Most buildings are already built therefore passive houses cannot substitute Eco-			
buildingsNew building directive -> certification	 Cash flow issues for small participants Dependency on other partners and sub- 		
necessary	projects		
 Eco-buildings focus on individual building -> it is possible to set landmarks/light houses 	 EC payment delays (months/years) create significant cash flow problems for participants (aspecially SMEs) 		
 Project participation enables local political leverage to push sustainable energy policy objectives and criteria 	 participants (especially SMEs) Long initial contract negotiation period complicates subsequent project development as building construction and EC project schedules diverge. Negotiation should take less than 6 months (instead of 		
 Participation in the DEMO community is productive in terms of ideas and contacts 			
Replication – Much interest received in completed buildings. Most supposeful	more than 1 year)		
completed buildings. Most successful elements already repeated in other buildings	 Low innovative character of demonstrated technologies 		
Dissemination as general public starts to become aware of EPBD applications and	 Enthusiasm diminished by bureaucracy within the Commission 		
implications	 "Never Again" feelings 		
 Important contact to other partners within the Eco-building sector 	No Commission promotion for Eco-buildings but:		
 Increases the possibility to use our state-of- the art knowledge ("fun" projects) 	 Huge passive house building movement supported by the Commission 		
 Knowledge transfer becomes more "real" during discussions of the actual 	 Concerto movement supported by the Commission 		
demonstration project (oppose to theoretical R&D discussions)	• Low funding (35 %)		
New technologies brought to the attention of the participating countries			
 Partners in the demonstration projects become more and more aware of energy saving possibilities 			
New technological developments have proven to be feasible in various countries			

5.2 RTD activities (including dissemination)

The RTD partners came up with the following results of the SWOT analysis:

RTD Strengths	RTD Weaknesses	
 Sharing of experiences, learning from others (practice – research) Stimulating collaborative effort between the 	 "Lowest common denominator" the expectations of the weakest collaborator determine the results 	
most active participants.	 Continuous dissemination is not easy 	
 Knowledge exchange between EU countries and cultures. 	 Coordinated dissemination in all countries is not easy 	
The public awareness makes dissemination easier once the buildings are completed	 Renovation process too slow to raise permanent interest 	
Broad knowledge in the Eco-building	 Lack of dynamics in the process 	
ProjectsBringing research to application (from	 Goal for common dissemination was undefined for a long time 	
 theory to practice) Networking Exchange of knowledge (inter-disciplinary approach) Initial ideas of theme groups, in order to identify, evaluate and promote various themes of sustainability Systematic analysis, comparison and evaluation of design and results Publicity for the organisation Make research work understandable 	 Theme groups were not able to work as intended, due to changes in the project approach in the contract negotiation phase. Dynamics of the renovation process do not fit the research planning Initial ideas were killed in the contract negotiations. Consequently, the project is just a demonstration of feasibilities, not the top of the bill. Exchange of knowledge is limited, due to changes in the project approach in the contract negotiations Distance between end-user and EU Low funding level Technical research is not welcome in the Eco-building programme Cross-contracting is needed but not allowed Different understanding of the term "innovation" between researchers, demonstration partners and EU Commission Eco-buildings have no clear definition Name "eco-building" is not suitable in all countries 	
	No promotion platform exists	
	 There is no industry back-up 	

RTD Opportunities	RTD Threats	
 Energy efficiency is important for public, policy and policy-makers right now Global warming can be used to emphasize 	 Poor performance of some buildings or partners adversely affects results of others (repeated) 	
the necessity for energy efficiencyDecreasing fossil fuel stock and high energy	 Timing problems with delays in construction critical to RTD activities 	
prices can be used for emphasizing the necessity for energy efficiency	Too local (non European) disseminationDissemination done by engineers rather	
Retrofit potential is bigger than new building potential (comparison to passive houses	than communications sector professionals	
and Concerto)	 Process too slow to raise permanent interest (repeated) 	
National legislations are changing right nowGeneral application: Eco-buildings can be	 No application of findings and results of research 	
broader used than Concerto (settlements, focus on energy production) and passive houses (dwellings, new buildings, limit on	 Consequently, the research is of limited interest 	
certain technologies like air-heating, passive houses are a limited sub-part of eco-	 Bureaucracy at the Commission takes the drive out of the programme and the projects 	
buildings, not vice versa)Good opportunity for research in a "real"	• Eco-buildings is reduced to passive houses (also by Commission and the	
environment rather than in the lab	Commissioner), which is wrong	
 Innovative work and knowledge exchange opportunities 	 Strong branding/platform of renewables/ passive houses, but not on eco-buildings 	
Utilisation of the Common Eco-building community		
Common sense about methodologies		

6 Annex 2: PPT Presentation on the key arguments

The Challenge: Existing Buildings



The solution of the Commission: Ecobuildings



EU-Definition of Ecobuildings:

The Ecobuildings concept is expected to be the meeting point of short-term development and demonstration in order to support legislative and regulatory measures for energy efficiency and enhanced use of renewable energy solutions within the building sector, which goes beyond the Directive on the Energy Performance of Buildings.

Ecobuildings projects aim at a new approach for the design, construction and operation of new and/or refurbished buildings, which is based on the best combination of the double approach: to reduce substantially, and if possible, to avoid demand for heating, cooling and lighting and to supply the necessary heating and cooling and lighting in the most efficient way and based as much as possible on renewable energy sources and polygeneration.

Action Plan for Energy Efficiency

(3) <u>Making buildings more energy efficient</u>

Priority Action 2

Building performance requirements and very low energy buildings ("passive houses")

The Commission will propose expanding the scope of the Energy Performance of Buildings Directive substantially in 2009, after its complete implementation. It will also propose EU minimum performance requirements for new and renovated buildings (kWh/m²). For new buildings, the Commission will also by the end of 2008 develop a strategy for very low energy or passive houses²⁴ in dialogue with Member States and key stakeholders towards more wide-spread deployment of these houses by 2015. The Commission will set a good example by leading the way, as far as its own buildings are concerned.

²⁴ Passive house are commonly defined as houses without traditional heating systems and without active cooling. This would involve very good insulation (Grels, and a mechanical ventilation system with highly efficient heat recovery. They can also be Crilled, zero-energy houses, houses without heating.



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The Commission should change the vocabulary from "passive houses" back to "Ecobuildings"

- -> The Ecobuildings programme has to be continued in FP7
- as it is applicable in:
- new buildings
- existing buildings
- single buildings
- settlements (CONCERTO)